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jc997 U.S. PTO 09/972375

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5214

First Named Inventor or Application Identifier

Tsuyoshi Okawa

Express Mail Label No: EL834557259US

Form for new non-provisional applications under 37 CFR 1.53(b))

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 37
2. ☒ Drawing(s) (35USC 113) Total Pages 1
3. ☒ Declaration and Power of Attorney Total Pages 3
  - a. ☒ Unexecuted(original or copy)
  - b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
  - i. ☐ [Note Box 4 Below]  
**DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No. P2000-308302 filed October 6, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
	TOTAL CLAIMS	13		\$18.00	0.00
	INDEPENDENT CLAIMS	1		84.00	0.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		280.00	0.00
				TOTAL FEE	\$740.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
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David R. Metzger (Reg. No. 32,919)

Date: October 5, 2001

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*West Palm Beach*

October 5, 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"NON-AQUEOUS ELECTROLYTE SECONDARY CELL"  
Applicant(s) Tsuyoshi Okawa, et al.  
Attorney Docket No. 09792909-5214

Dear Sir:

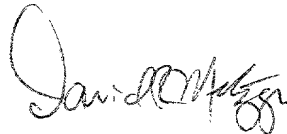
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 13 claims (1 independent), 1 sheet of drawings (Fig. 1) and the filing fee of \$740.00 on behalf of

TSUYOSHI OKAWA, MAMORU HOSOYA, JUNJI KUYAMA  
and YUZURU FUKUSHIMA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL



By:

David R. Metzger

DVM:kat  
Enclosures

09792909-5214

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Date of Deposit: October 5, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Tsuyoshi Okawa, Mamoru Hosoya, Junji Kuyama and  
Yuzuru Fukushima

Attorney Docket No. 09792909-5214

\$740.00 filing fee (13 claims - 1 independent)

Drawings (1 sheet - Fig. 1)

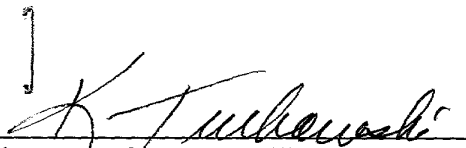
Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-308302

Return postcard

  
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Signature of Person Mailing Application and Fees

09792909-5214